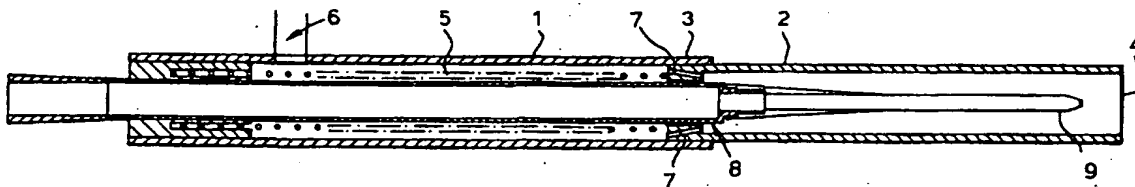




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b> <b>G01N 1/02, B01L 3/02, G01N 35/10</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/39327</b> <b>(43) International Publication Date:</b> 23 October 1997 (23.10.97)
<b>(21) International Application Number:</b> PCT/GB97/00985 <b>(22) International Filing Date:</b> 9 April 1997 (09.04.97) <b>(30) Priority Data:</b> 9607818.3      15 April 1996 (15.04.96)      GB <b>(71) Applicant (for all designated States except US):</b> THE TECHNOLOGY PARTNERSHIP PLC [GB/GB]; Melbourn Science Park, Cambridge Road, Melbourn, Royston, Hertfordshire SG8 6EE (GB). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> GRAY, Richard, Henry [GB/GB]; 15 Headley Gardens, Great Shelford, Cambridge CB2 5JZ (GB). CASSELLS, John, Maclaren [GB/GB]; 59 Home Farm Road, Houghton, Huntingdon, Cambridgeshire PE17 2BN (GB). <b>(74) Agent:</b> GILL JENNINGS & EVERY; Broadgate House, 7 Eldon Street, London EC2M 7LH (GB).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

(54) Title: CHEMICAL SAMPLING/DISPENSING APPARATUS



## (57) Abstract

A chemical sampling or dispensing apparatus comprises: a sleeve (1) having a first end arranged to engage with an inlet to a vessel (10), a gas inlet (6) for receiving, in use, an inert gas and directing it toward the first end of the sleeve. The sleeve (1) is arranged to at least partially surround a chemical sampling or dispensing means. A chemical sampling or dispensing means (8) is arranged to dispense or take samples from a vessel inlet through the first end of the sleeve.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakistan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

CHEMICAL SAMPLING/DISPENSING APPARATUS

This invention relates to chemical sampling and dispensing.

5        In modern chemistry and, in particular, in modern organic chemistry, there often arises a need to obtain samples from and/or dispense samples to reaction vessels in which a chemical reaction is taking place. Whilst conventional sampling and dispensing devices, such as  
10        syringes and pipettes, are adequate for most liquid sampling and dispensing purposes, problems arise when the chemicals to be sampled or dispensed are solids, volatile, and/or react with water or air, or where the fluid may be at a lower temperature than the surrounding air. It has  
15        been traditional to obtain and dispense air sensitive liquid samples by employing a syringe fitted with a fine needle, and a septum on the vessel. The needle then has to have a narrow bore in order to minimise the surface area of fluid exposed to the air and to repeatedly pierce the  
20        septum without the septum incurring damage to the point where it would leak.

      Such devices have problems, however, in that they are unable to accurately sample and dispense viscous liquids, that the act of drawing or dispensing the substance may, if  
25        the substance is volatile, cause the substance to vaporise, they block easily, condensation and ice can form easily on their outer surface when handling cold liquids, and in that they cannot handle solids or suspension of solids in slurry form.

30        Another attempted solution to the above problems has been to provide both the sampling/dispensing device and the reaction vessel as part of a larger apparatus contained within an air tight casing which can be filled with an inert gas. Such a system is, however, cumbersome, and  
35        requires a large amount of gas, making it expensive to purchase and operate.

The present invention is directed toward providing a chemical sampling or dispensing apparatus which isolates the chemical from air and moisture, yet which is able to deal with solids, viscous and volatile liquids.

5 According to the present invention there is provided a chemical sampling or dispensing apparatus comprising:

a sleeve having a first end arranged to engage with an inlet to a vessel, a gas inlet for receiving, in use, an inert gas and directing it toward the first end of the sleeve, wherein the sleeve is arranged to at least partially surround a chemical sampling or dispensing means; and

10

a chemical sampling or dispensing means arranged to dispense or take samples from a vessel inlet through the first end of the sleeve.

15

The chemical sampling or dispensing means may be a pipette, fluid line from a pump, syringe or displacement plunger device, and may be arranged to slide axially within the sleeve.

20 The sleeve may be formed from two shrouds, one arranged to slide within the other, the sliding engagement of the two shrouds being controlled by engagement of the first end of the sleeve with the vessel inlet and either the pressure of input inert gas or a biasing member. Alternatively, the sleeve may be of unit construction and be arranged such that the area to be shrouded is brought inside the shroud to make connection with the sampling device. The first end of the sleeve may be open and may incorporate a gas seal or venturi flow arrangement to ensure that no air or moisture enters the sleeve.

25

30 Alternatively, it may be covered by a hinged sealing door

The apparatus may be arranged to be hand held or may be arranged to be operated by a robot.

35 The provision of a sleeve filled with a flow of inert gas ensures that no air or moisture is able to enter the region surrounding the sampling or dispensing means and

contaminate the sample and eliminates the formation of condensation or ice.

A further advantage of the invention is that it is not restricted to use with needles and self sealing septa. An advantage of the invention is that the shroud gas need only  
5 be switched on when a transfer is being made, thus saving expensive gas. It can allow larger objects to access vessels through the openings. For example the shroud can accommodate a decapper and can provide a gas shield while  
10 the vessel is decapped, accessed by a large probe, and then recapped. The shroud may incorporate a means of opening or de-capping a vessel. The shroud itself may open a cap, or ports in a cap, by push, pull or rotary action. It also allows addition of solids, for example powders, by use of  
15 a shrouded spatula device, hopper or packed tube or any number of gases from piped supplies situated within the shroud. The insert gas supply may be arranged to flow through the inside of the shroud such that it may cool electronic, electrical, optical and mechanical components  
20 and/or protect them from corrosive fumes. These components could include for example circuits, motors, solenoids, sensors and analytical equipment. For example, the shroud may contain a non-contact infra-red thermocouple which can be used to measure the temperature of the contents of a  
25 vessel by imaging the contents through an opened port. Sensors attached to the shroud may be used for feed-back control of the shroud functions, including sensing of the cap position, volume of the vessel and monitoring and control of the gas flow to maintain the gas blanket.

30 The vessel may be a reaction vessel or storage container.

One example of the present invention will now be described with reference to the accompanying drawings, in which:

35 Figure 1 shows a device according to the present invention prior to engagement with the inlet to a vessel; and

Figure 2 shows an example of the present invention after engagement with a vessel.

Referring to Figure 1, a chemical sampling or dispensing apparatus has a sleeve 1 which comprises an inner shroud 2 and outer shroud 3. The two shrouds 2, 3 are arranged so that the inner shroud 2 slides telescopically within the outer shroud 1. The inner shroud 2 has an open end 4 which is arranged to engage with an inlet to the vessel 10 (Fig. 2). A biasing spring 5 biases the inner shroud 2 outward from the outer shroud 3. A gas inlet 6 is provided on the outer shroud 3 so that inert gas, such as Argon or Nitrogen, can be pumped into the outer shroud 3 and on to the inside of inner shroud 2 via passageways 7. The inert gas can then pass out of the open end 4 of the inner shroud 2, creating a flow of inert gas through the sleeve.

A chemical sampling or dispensing device 8 is positioned within the sleeve 1 and arranged so that its sampling or dispensing end 9 is adjacent to the open end 4 of the inner shroud 2. In this Figure, a plunger displacement device, which is capable of both dispensing and sampling chemicals is shown, although it will be appreciated that this device could be replaced with equivalent devices, such as a syringe and needle, liquid supply pipe or pipette arrangement.

Referring to Figure 2, when the apparatus is positioned to engage with an inlet 11 to a vessel 10, the inner shroud 2 slides within the outer shroud 3, and allows the sampling or dispensing end 9 of the sampling or dispensing apparatus 8 to enter the inlet to the vessel 10. Because of the flow of inert gas, even if the open end 4 of the inner shroud 2 does not provide a tight seal with the inlet to the vessel 10, no air or moisture is able to enter the sleeve 1 and come into contact with the sampling or dispensing apparatus 8. Once a sample has been dispensed or taken, the apparatus can be moved away from the inlet to the vessel 10 and, because of the spring biasing of the

inner shroud 2, the sampling or dispensing end 9 of the sampling or dispensing apparatus 8 never comes into contact with the air. Even when the apparatus is fully removed, because inert gas is flowing around the dispensing or  
5 sampling end 9, a blanket of inert gas is provided which prevents air contact.

A prototype of the device shown in Figure 1, having a glass shroud of 16mm inside diameter, was tested for oxygen exclusion. An electrochemical oxygen probe was calibrated  
10 to read oxygen concentrations in the range 0-100% of the normal atmospheric oxygen partial pressure. The 0% calibration point was obtained with a sodium sulphite zero oxygen standard solution. The 100% calibration point was measured in free atmospheric air. The oxygen sensor was  
15 placed in the mouth of the shroud, at a position corresponding to the end of the pipette tip 9. Dry zero-grade nitrogen gas was introduced into the shroud through inlet 6 and the gas flow was gradually increased until the meter read 0% oxygen. This corresponded with a flow rate  
20 of approximately 0.35 standard litres per minute (SLPM). The flow rate was fixed at 0.4 SLPM and the device was tested for air exclusion using a colour-change oxygen sensitive reagent (sodium dithionite, 10% KOH and indigo carmine, which turns from yellow to blue in the presence of  
25 oxygen). The air sensitive reagent was taken up into the pipette tip 9 from a gas-blanketed vessel. The device was then left standing in free air. No colour change was observed after one hour, indicating that little or no oxygen had contaminated the tip contents. By contrast, the  
30 reagent changed colour almost immediately in the absence of blanket gas. A series of tests were carried out where an oxygen sensitive reagent was repeatedly transferred between vessels. Again, no ingress of oxygen was observed.

The stream of gas coming out of the end of the shroud  
35 can provide some protection from air contamination some distance beyond the end of the shroud tube.

It will be appreciated that the reaction vessel may have a sealing lid or membrane 12, in which case, the open end 4 of the inner shroud 2, or (as shown) the sampling/dispensing device 8, may be arranged to break the seal on contact with the vessel 10.

As mentioned above, the spring 5 could be replaced by increased inert gas pressure, which could provide an equivalent biasing force. Alternatively, the extension and retraction of the shroud tube may be motorised such that the shroud may be set to any position at will. This is useful where, for example, the target vessel could be knocked over or moved if the shroud was to come in contact with it. It is also desirable to retract the shroud to allow cleaning, inspection or replacement of the sampling device. The shroud retraction mechanism can form part of a tool or pipette pick-up mechanism, and may incorporate a limit sensor to prevent damage in the event of encountering an obstruction.

As also mentioned above, the apparatus may be adapted to be moved and controlled by a robot, or may, alternatively, be a handheld device.

A further advantage of the present invention lies in the possibility for the gas inlet 6 to provide gas to the vessel 10 once the sleeve 1 has engaged with it. This allows for the application of gas to the vessel 10 to assist the reaction by agitating vessel contents or in order to add an inert gas blanket to the vessel 10. Furthermore, gas may be supplied via the gas inlet 6 to displace liquid from the vessel 10.

The invention can also be used for transferring samples in microbiology or radiochemistry. The shroud can be supplied with a flow of sterile gas (or air). The gas blanket will maintain the sterility of any sampling device contained within the shroud. This is useful for taking and transferring samples where contamination needs to be avoided.



The invention can be configured to shroud several openings simultaneously, independently or collectively. For example, a system with multiple shroud would allow independent shrouding of multiple openings. This would prevent vapour from one opening contaminating an adjacent opening. Alternatively, a single shroud may be used to blanket multiple openings, such as a 96-well plate used in high throughput screening.

The invention may also be configured with concentric inner and outer shrouds. For example, a concentric shroud arrangement, with an inner shroud supplying gas and an outer shroud connected to a fume extraction system can be used to shroud an open port, while simultaneously taking away noxious or hazardous fumes. This embodiment of the invention can be used for the handling and containment of hazardous substances, such as chemical, biological and radiochemical samples, where vapours could be hazardous to the operator.

The shroud may be manufactured of glass, ceramic, plastic or metal or other suitable material. The shroud could be made of lead or another radiation shielding material to protect an operator when the system is used with radioactive chemicals. Where the shroud is being used in the transfer of aggressive substances, only the end of the shroud needs to be made from an inert material because the shroud gas protects the uppermost internal parts of the shroud.

CLAIMS

1. A chemical sampling or dispensing apparatus comprising:

5 a sleeve having a first end arranged to engage with an inlet to a vessel, a gas inlet for receiving, in use, an inert gas and directing it toward the first end of the sleeve, wherein the sleeve is arranged to at least partially surround a chemical sampling or dispensing means;  
10 and

a chemical sampling or dispensing means arranged to dispense or take samples from a vessel inlet through the first end of the sleeve.

15 2. An apparatus according to claim 1, wherein the chemical sampling or dispensing means is a pipette.

3. An apparatus according to claim 1, wherein the chemical sampling or dispensing means is a fluid line from  
20 a pump.

4. An apparatus according to claim 1, wherein the chemical sampling or dispensing means is a syringe.

25 5. An apparatus according to claim 1, wherein the chemical sampling or dispensing means is a displacement plunger device.

6. An apparatus according to claim 1, wherein the  
30 chemical sampling or dispensing means is a solids handling device.

7. An apparatus according to claim 1, wherein the chemical sampling or dispensing means is a gas pipe.

35

8. An apparatus according to any of the preceding claims, wherein the chemical sampling or dispensing means is arranged to slide axially within the sleeve.
- 5 9. An apparatus according to any of the preceding claims, wherein the sleeve is formed from two shrouds, one arranged to slide within the other, the sliding engagement of the two shrouds being controlled by engagement of the first end of the sleeve with the vessel inlet and either the pressure  
10 of input inert gas or a biasing member.
10. An apparatus according to any of claims 1 to 8, wherein the sleeve is unit construction and is arranged such that the area to be shrouded is brought inside the  
15 shroud to make connection with the sampling device.
11. An apparatus according to any of the preceding claims, wherein the first end of the sleeve is open.
- 20 12. An apparatus according to any of claims 1 to 10, wherein the first end of the sleeve incorporates a gas seal or venturi flow arrangement.
- 25 13. An apparatus according to any of claims 1 to 10, wherein the first end of the sleeve is covered by a hinged sealing door.
14. An apparatus according to any of the preceding claims, wherein the apparatus is arranged to be hand held.
- 30 15. An apparatus according to any of claims 1 to 13, wherein the apparatus is arranged to be operated by a robot.
- 35 16. An apparatus according to any of the preceding claims, wherein the shroud accommodates a decapper and can provide

a gas shield while the vessel is decapped, accessed by a large probe, and then recapped.

5 17. An apparatus according to any of the preceding claims, wherein the shroud incorporates a means of opening or decapping a vessel.

10 18. An apparatus according to any of the preceding claims, wherein the shroud itself opens a cap by push, pull or rotary action.

15 19. An apparatus according to any of the preceding claims, wherein the insert gas supply is arranged to flow through the inside of the shroud such that it may cool components in the apparatus and/or protect the components from corrosive fumes.

20 20. An apparatus according to any of the preceding claims, wherein the shroud contains a sensor.

21. An apparatus according to any of the preceding claims, wherein sensors are attached to the shroud for feed-back control of the shroud functions, including sensing of the cap position, volume of the vessel and monitoring and control of the gas flow to maintain the gas blanket.

25

Fig.1.

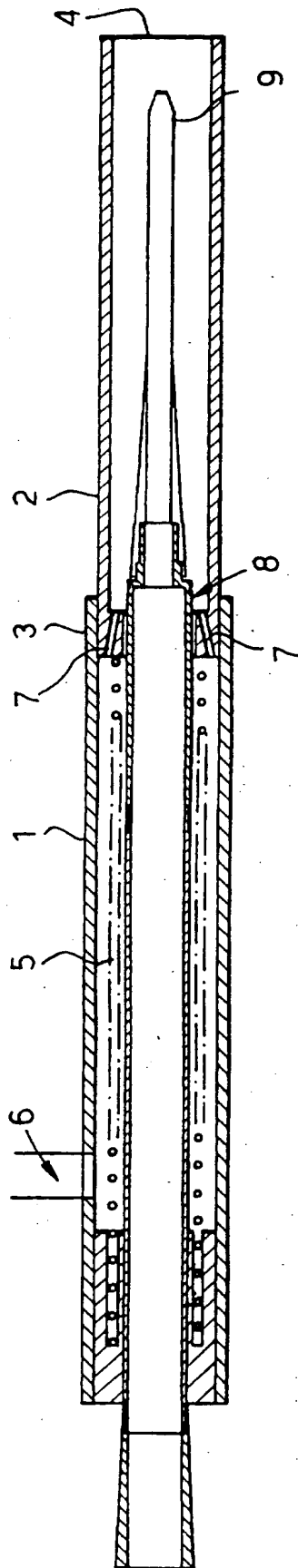
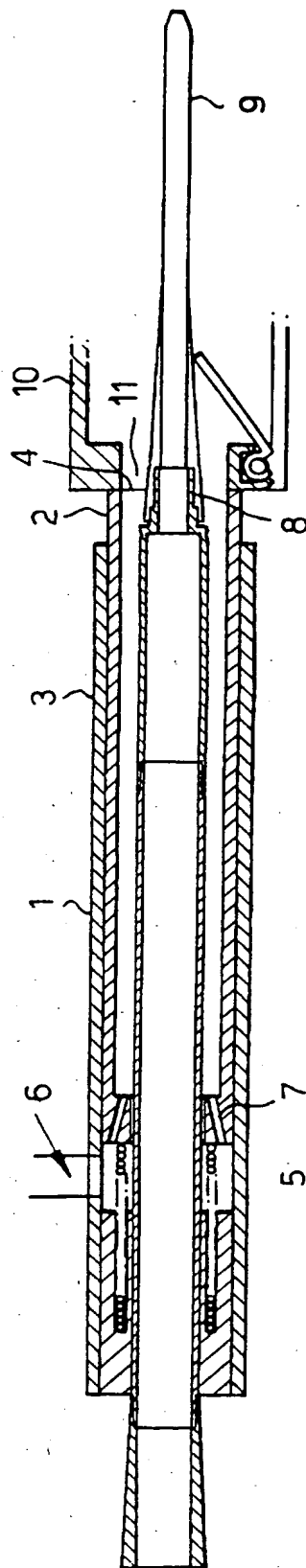


Fig.2.



# INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/GB 97/00985

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G01N1/02 B01L3/02 G01N35/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G01N B01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 44 32 599 A (THOMAE GMBH DR K) 14 March 1996 see column 3, line 9 - column 4, line 7 ---	1-3, 10, 11, 15, 19
A	US 4 804 372 A (LAICO JOSEPH P ET AL) 14 February 1989 see figures 1, 2 ---	1, 4, 5, 8, 9, 11, 14
A	US 4 110 590 A (BATEY JOHN WALTER ET AL) 29 August 1978 see column 3, line 51 - column 4, line 3; figures 3, 4 ---	1, 8, 9, 11
A	US 5 441 878 A (THIES CURT. ET AL) 15 August 1995 see column 4, line 7 - line 27; figures 1, 2, 7 --- -/-	1-5

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

14 July 1997

Date of mailing of the international search report

21/07/1997

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Hodson, M

# INTERNATIONAL SEARCH REPORT

Int. l. Application No  
PCT/GB 97/00985

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 517 606 A (ISO CONCEPT S A) 9 December 1992 see column 6, line 30 - column 7, line 31; figures 3A-3D ---	1,6,13, 16-18
A	US 3 981 200 A (GEORGE RICHARD ALEXANDER ET AL) 21 September 1976 -----	

# INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/GB 97/00985

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 4432599 A	14-03-96	AU 3606595 A DE 9421778 U WO 9608556 A EP 0781325 A	29-03-96 14-11-96 21-03-96 02-07-97
US 4804372 A	14-02-89	NONE	
US 4110590 A	29-08-78	NONE	
US 5441878 A	15-08-95	NONE	
EP 0517606 A	09-12-92	FR 2677245 A CA 2070461 A JP 5178460 A	11-12-92 08-12-92 20-07-93
US 3981200 A	21-09-76	NL 7411605 A DE 2537606 A FR 2282935 A GB 1526761 A JP 51051388 A	04-03-76 01-04-76 26-03-76 27-09-78 06-05-76